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Technology Center 2600

Docket No. 52275-00005USPX  
P13611US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:  
Joachim SACHS et al.

Serial No.: 09/894,297

Filed: June 28, 2001

§  
§  
§  
§  
§  
§

Group No.: 2661

Examiner: not assigned

For: METHOD AND DEVICE FOR IMPROVING THE TRANSMISSION EFFICIENCY IN  
A COMMUNICATION SYSTEM WITH A LAYERED PROTOCOL STACK

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this paper or fee is being deposited with  
the U.S. Postal Service as first class mail on the date indicated  
below and is addressed to the Assistant Commissioner for  
Patents, Washington, D.C. 20231

Date

11-21-01

Signature

Marty Balho  
Marty Balho

PRELIMINARY AMENDMENT TRANSMITTAL LETTER

Dear Sir:

This is a response/amendment/letter in the above-identified application and includes the  
transmitted herewith attachment(s) of the same date and subject which is/are incorporated hereunto by  
reference. The signature below is to be treated as the signature to the attachment(s) in absence of a  
signature thereto.

Transmitted herewith in the above-identified application is/are:

- 1) Preliminary Amendment Transmittal Letter (in duplicate);
- 2) Preliminary Amendment;
- 3) Check in the amount of \$\_\_\_\_\_ for filing fees;

4) Acknowledgment Postcard.

Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement claiming small entity status under 37 CFR 1.9 and 1.27 is enclosed.

No additional fee is required.

XX The Fee for entering the attached Amendment is calculated below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST # PREVIOUSLY PAID FOR		PRESENT EXTRA		SMALL ENTITY RATE		LARGE ENTITY RATE
TOTAL CLAIMS	35	-	20 (at least 20)	=	15 (at least 0)	x9	= <u>OR</u>	x18	= \$270
INDEP. CLAIMS	4	-	3 (at least 3)	=	1 (at least 0)	x40	= <u>OR</u>	x80	= \$80
FIRST PRESENTATION OF PROPER CLAIMS (leave blank if this is a <u>reissue</u> appln)						+135	= <u>OR</u>	+270	= \$

FEE FOR CLAIM AMENDMENTS \$ 350

IDS ATTACHED REQUIRES OFFICIAL FEE - ADD \$240 (RULE 1.97(c)) PETITION) \$

Assignment Recordation Fee (\$40) \$

IF TERMINAL DISCLAIMER attached add Rule 20(d) Official Fee \$55 (Small Entity) \$110 (Large Entity) \$

Petition is hereby made under 37 CFR 1.136(a) to extend the original due date to cover the date this response is filed for which the requisite fee is attached:

	Small Entity	Large Entity
One Month	\$ 55	\$110
Two Months	\$195	\$390
Three Months	\$445	\$890
Four Months	\$695	\$1390

ADDITIONAL FEE FOR EXTENDED RESPONSE \$

Applicant has not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.611.

TOTAL FEES

\$350.00

XX A check in the amount of \$350 to cover the TOTAL FEE is attached. Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0447.

\_\_\_\_\_ Please charge my Deposit Account No. 10-0447 in the amount of \$\_\_\_\_\_ to cover the TOTAL FEE. This sheet is attached in duplicate.

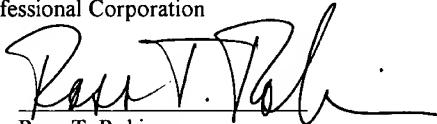
**CHARGE STATEMENT:** If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and may be required under 37 CFR 1.16-1.18 (missing or insufficiencies only) now or hereafter relative to this application and for the resulting Official Document under 37 CFR 1.20, OR credit any overpayment to our Deposit Account No. 10-0447, for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

Respectfully submitted,

JENKENS & GILCHRIST,  
A Professional Corporation

By:



Ross T. Robinson  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Joachim SACHS et al. )  
Serial No.: 09/894,297 ) Examiner: not assigned  
Filed: June 28, 2001 ) Group Art Unit: 2661

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A COMMUNICATION SYSTEM WITH A LAYERED PROTOCOL STACK

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited postage paid with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231	
on	11-21-01
Signature	<i>Mindy Balke</i> <i>Mindy Balke</i>

01/09/2002 MWOLDER1 00000077 100447 09894297

01 FC:102 84.00 OP  
02 FC:103 4.00 CH 266.00 OP

Dear Sir:

PRELIMINARY AMENDMENT

Please amend the above-identified application for patent as follows:

IN THE SPECIFICATION

Please replace the paragraph at p. 3, ln. 22 with the following paragraph:

A1

Summary of the invention

Please delete the paragraph at p. 3, ln. 26-p. 4, ln. 2.